

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents,

Washington, D.C. 20231 on 18 January 2002


Jeff Lloyd, Patent Attorney

Patent Application

Docket No. UF-168C2D1

Serial No. 10/001,560

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : (Not yet assigned)
Art Unit : 3739
Applicant(s) : Dietrich Gravenstein, Samsun Lampotang, Richard Melker
Serial No. : 10/001,560
Filed : October 23, 2001
For : Imaging Scope

Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

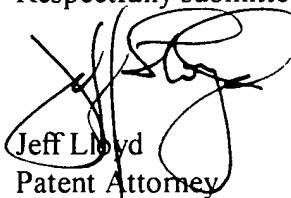
Applicants respectfully request the correction of several errors in the Official Filing Receipt for the above-identified patent application. Please send a corrected filing receipt with the following changes:

1) The Inventors' residences were not provided at the time of filing this application. Please add the following residences for the inventors:

Dietrich Gravenstein, **Gainesville, FL;**
Samsun Lampotang, **Gainesville, FL;**
Richard Melker, **Gainesville, FL;**

Copies of the Transmittal Letter, Declaration and Power of Attorney, and *erroneous* Official Filing Receipt accompany this Request. Correction of the above is respectfully requested.

Respectfully submitted,



Jeff Lloyd

Patent Attorney

Registration No. 35,589

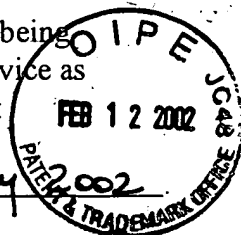
Phone No.: 407-426-7500

Address : 2421 N.W. 41st Street, Suite A-1
Gainesville, FL 32606-6669

JL/gm

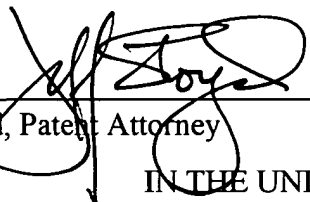
Enclosures: Copies of the Transmittal Letter, Declaration and Power of Attorney, and *erroneous* Official Filing Receipt.

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N- Sec 101
H4

Patent Application
Docket No. UF-168C2D1
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Art Unit : 3739
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For : Imaging Scope

BOX MISSING PART
Assistant Commissioner for Patents
Washington, D.C. 20231

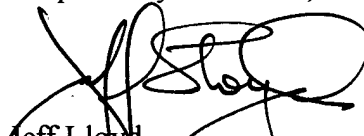
TRANSMITTAL LETTER

Sir:

Transmitted herewith is one (1) fully executed Declaration (37 CFR 1.63) and Power of Attorney form for the application identified above. A Notice to File Missing Parts was received from the Patent Office, and a copy of that Notice accompanies this letter.

Please charge the surcharge of \$65 for the late-filed Declaration (37 CFR 1.63) to Deposit Account No. 19-0065. The Commissioner is hereby authorized to charge any additional fees as required by 37 CFR 1.16 or 1.17 to Deposit Account No. 19-0065. Two copies of this letter are enclosed.

Respectfully submitted,



Jeff Lloyd
Patent Attorney
Registration No. 35,589
Phone No.: 407-426-7500
Address : Saliwanchik, Lloyd & Saliwanchik
A Professional Association
2421 N.W. 41st Street, Suite A-1
Gainesville, FL 32606

JL/gm

Attachments: One (1) Declaration (37 CFR 1.63) and Power of Attorney form; two copies of this letter; copy of Notice to File Missing Parts.

COPY RECEIVED

Please type a plus sign (+) inside this box → +

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

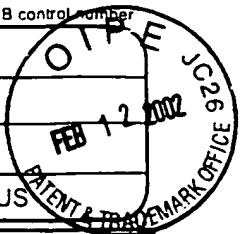
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

MAR - 5 2002
Attorney Docket No. UF-168C2D1
First Inventor Gravenstein et al.
Title IMAGING SCOPE
Express Mail Label No. EF 370336530 US



APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 21]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
5. Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: 09 / 007,939

Prior application information: Examiner J. Leubecker

Group Art Unit: 3739

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 23,557 or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name
Address
City State Zip Code
Country Telephone Fax

Name (Print/Type) Jeff Lloyd Registration No. (Attorney/Agent) 35,589
Signature Date 23 OCT. 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

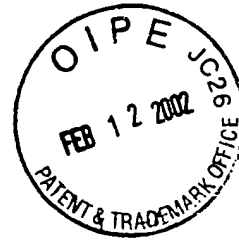
COPY OF PAPERS
ORIGINAL FILED

COPY

DOCKET NO. UF-168C2D1FEE TRANSMITTAL FORM
RECEIVEDAssistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

MAR -5 2002

TC 3700 MAIL ROOM



Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Dietrich Gravenstein, Samsun Lampotang, Richard Melker

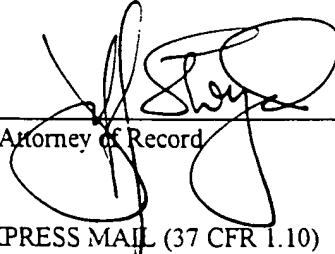
COPY OF PAPERS
ORIGINALLY FILED

Entitled: Imaging Scope

- A Utility Patent Application Transmittal Form accompanies this Fee Transmittal Form.
- The filing fee is calculated below:

| CLAIMS AS FILED | | | | |
|---|--------------|--------------|--------|-----------|
| | Number filed | Number Extra | Rate | Fee |
| Basic Fee | | | | \$ 370.00 |
| Total Claims | 1 - 20 = | 0 | x \$9 | 0.00 |
| Independent Claims | 1 - 3 = | 0 | x \$42 | 0.00 |
| Presentation of Multiple Dependent Claim(s) (\$140) | | | | -0- |
| Total Filing Fee | | | | \$ 370.00 |

- Please charge \$ 370.00 to Deposit Account No. 19-0065. A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge any additional filing fees which may be required, or credit any overpayment, to Deposit Account No. 19-0065. A duplicate copy of this sheet is enclosed.
- This application is being mailed by Express Mail under 37 CFR 1.10 and the required certificate appears below.

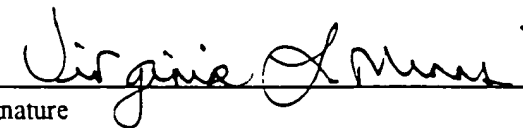
23 OCTOBER 2001
Date
Attorney of Record

235,589

CERTIFICATE OF MAILING BY EXPRESS MAIL (37 CFR 1.10)

Express Mail No.: EF 370336530 US Date of Deposit: October 23, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Virginia L. Minns
Name of person mailing paper
Signature



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

RECEIVED

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | PL FEE REC'D | ATTY DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
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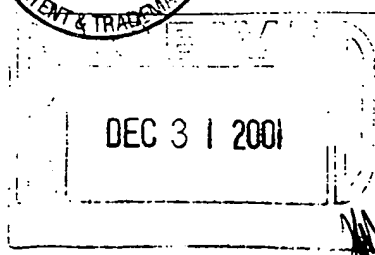
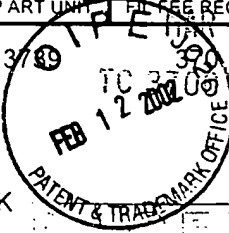
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23557
SALIWANCHIK LLOYD & SALIWANCHIK
A PROFESSIONAL ASSOCIATION
2421 N.W. 41ST STREET
SUITE A-1
GAINESVILLE, FL 326066669



CONFIRMATION NO. 5627

FILING RECEIPT



OC000000007226819*

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 12/21/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Dietrich Gravenstein, Residence Not Provided;
Samsun Lampotang, Residence Not Provided;
Richard Melker, Residence Not Provided;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/007,939 01/16/1998 PAT 6,322,498
WHICH IS A CIP OF PCT/US97/17954 10/06/1997
WHICH IS A CON OF 08/725,779 10/04/1996 PAT 6,115,523

Foreign Applications

If Required, Foreign Filing License Granted 12/21/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Imaging scope

Preliminary Class

600

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/001,560 | 10/23/2001 | Dietrich Gravenstein | UF-168C2D1 |

23557
SALIWANCHIK LLOYD & SALIWANCHIK
A PROFESSIONAL ASSOCIATION
2421 N.W. 41ST STREET
SUITE A-1
GAINESVILLE, FL 326066669

CONFIRMATION NO. 5627

FORMALITIES LETTER



OC000000007226820

Date Mailed: 12/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/15/2002 MWOLDR1 00000049 190065 10001560

01 FC:205 65.00 CH